

MAR 20 2006

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**To:** Examiner: Harish T. Dass  
Group Art Unit: 3628  
Confirmation No.: 3901

**From:** John S. Sensny, Esq.

**Fax:** 571-273-8300

**Pages:** 18 (Including fax cover sheet)

**Phone:**

**Date:** March 20, 2006

**Re:** Applicants: Rosario Gennaro, et al.  
Serial No.: 09/734,086  
Filed: December 11, 2000  
For: "SECURE ELECTRONIC STOCKS  
AND OTHER TITLES AND INSTRUMENTS"  
Docket: YOR920000598US1 (13893)

**CC**

**AMENDMENT UNDER 37 C.F.R. §1.111****TRANSMITTED HEREWITH:**

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.111; and
3. Certificate of Transmission of Facsimile, dated March 20, 2006 (in duplicate).

**FOR:**

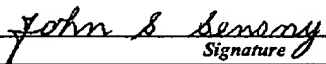
Applicants: Charles Tresser, et al.  
Serial No.: 09/734,086  
Filed: December 11, 2000  
For: "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS"  
Docket: YOR920000598US1 (13893)

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P18/REV02

MAR 20 2006

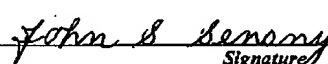
<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				<b>Docket No.</b>	
Applicant(s): Charles Tresser, et al.				YOR920000598US1 (13893)	
<b>Application No.</b>	<b>Filing Date</b>	<b>Examiner</b>	<b>Customer No.</b>	<b>Group Art Unit</b>	<b>Confirmation No.</b>
09/734,086	December 11, 2000	Harish T. Dass	23389	3628	3901
<b>Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLTES AND INSTRUMENTS</b>					
<b>COMMISSIONER FOR PATENTS:</b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	<b>CLAIMS REMAINING AFTER AMENDMENT</b>	<b>HIGHEST # PREV. PAID FOR</b>	<b>NUMBER EXTRA CLAIMS PRESENT</b>	<b>RATE</b>	<b>ADDITIONAL FEE</b>
<b>TOTAL CLAIMS</b>	20 -	20 =	0	x \$50.00	\$0.00
<b>INDEP. CLAIMS</b>	3 -	3 =	0	x \$200.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____					
<input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>50-0510/IBM</b>					
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.					
<input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038.					
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
 Signature			Dated: March 20, 2006		
<b>John S. Sensny</b> Registration No. 28,757  <b>Scully, Scott, Murphy &amp; Presser, P.C.</b> 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343			<div style="border: 1px solid black; padding: 5px;"><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____</p><p>(Date)</p><p>_____ Signature of Person Mailing Correspondence</p><p>_____ Typed or Printed Name of Person Mailing Correspondence</p></div>		
cc: JSS:jy					

P11LARGE/REV09

MAR 20 2006

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): Charles Tresser, et al.			Docket No. YOR920000598US1 (13893)
Application No. 09/734,086	Filing Date December 11, 2000	Examiner Harish T. Dass	Group Art Unit 3628
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS			
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.111</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(571) 273-8300</u>)</p> <p>on <u>March 20, 2006</u> (Date)</p> <p><u>John S. Sensny</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u>John S. Sensny</u> (Signature)</p> <p>Note: Each paper must have its own certificate of mailing.</p>			

P18/REV02

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				<b>Docket No.</b> <b>YOR920000598US1 (13893)</b>	
<b>Applicant(s): Charles Tresser, et al.</b>					
<b>Application No.</b> 09/734,086	<b>Filing Date</b> December 11, 2000	<b>Examiner</b> Harish T. Dass	<b>Customer No.</b> 23389	<b>Group Art Unit</b> 3628	<b>Confirmation No.</b> 3901
<b>Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS</b>					
<b><u>COMMISSIONER FOR PATENTS:</u></b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	<b>CLAIMS REMAINING AFTER AMENDMENT</b>	<b>HIGHEST # PREV. PAID FOR</b>	<b>NUMBER EXTRA CLAIMS PRESENT</b>	<b>RATE</b>	<b>ADDITIONAL FEE</b>
<b>TOTAL CLAIMS</b>	20 -	20 =	0	x \$50.00	\$0.00
<b>INDEP. CLAIMS</b>	3 -	3 =	0	x \$200.00	\$0.00
<b>Multiple Dependent Claims (check if applicable)</b> <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>50-0510/IBM</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
<div style="border: 1px solid black; padding: 5px;"> <b>John S. Sensny</b> Registration No. 28,757  Scully, Scott, Murphy &amp; Presser, P.C. 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343</div>			<b>Dated: March 20, 2006</b>		
<b>cc: JSS:jy</b>			<div style="text-align: center;"><input checked="" type="checkbox"/> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date)  _____ Signature of Person Mailing Correspondence  _____ Typed or Printed Name of Person Mailing Correspondence</div>		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles Tresser, et al.

Examiner: Harish T. Dass

Serial No: 09/734,086

Group Art Unit: 3628

Filed: December 11, 2000

Docket: YOR920000598US1 (13893)

For: SECURE ELECTRONIC  
STOCKS AND OTHER  
TITLES AND INSTRUMENTS

Dated: March 20, 2006

Confirmation No.: 3901

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450AMENDMENT UNDER 37 C.F.R. §1.111

Dear Sir:

In response to the Office Action dated December 19, 2006, please amend the subject patent application as follows.

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Dated: March 20, 2006John S. Sensny  
John S. Sensny

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